



THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom - Happiness

Hanoi, October 23, 2026

Re: Report on the Progress
of Remedial Measures for
Shares Under Warning
Status

To: Hanoi Stock Exchange

Pursuant to Decision No. 299/QĐ-SGDHN dated April 3, 2025, issued by the Hanoi Stock Exchange regarding the continued placement of PV2 shares of Genea Capital Joint Stock Company under warning status due to negative undistributed after-tax profits as of December 31, 2024, Genea Capital Joint Stock Company hereby reports on the progress of remedial measures in the third quarter of 2026 as follows:

- In Q3 2026, the Company recorded an **after-tax loss of VND 3,034,178,228**, compared with an **after-tax profit of VND 4,857,442,766** in the same period of 2025. This was primarily attributable to unfavorable developments in the stock market, which adversely affected the performance of the Company's trading securities investments and its overall business results during the period.

The Company's other business activities remained stable, while financial investment activities and debt collection continued to be carried out as planned.

- Going forward, the Company will continue to review and restructure its investment portfolio, strengthen risk management, and seek suitable investment opportunities to gradually improve business performance and address the circumstances that led to its shares being placed under warning status.

Respectfully submitted.

Recipients:

- As above;
- Archives



CÔNG TY
CỔ PHẦN
GENEA
CAPITAL

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