



**GENEA CAPITAL
JOINT STOCK COMPANY**

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No.: 71/2026/GENEA-KT

Hanoi, 20 October, 2026

Re: Explanation of the
Financial Statements for the
Third Quarter of 2026.

To: Hanoi Stock Exchange (HNX)

Pursuant to Circular No. 96/2020/TT-BTC dated 16 November 2020 issued by the Ministry of Finance, providing guidance on information disclosure in the securities market, the Company hereby submits its explanation of the change in profit after tax for the third quarter of 2026 compared with the corresponding period of 2025, as follows:

- Profit after tax reported in the Financial Statements for the third quarter of 2026, prepared on 20 October 2026, was **VND (3,034,178,228)**.
- Profit after tax reported in the Financial Statements for the third quarter of 2025, prepared on 20 October 2025, was **VND 4,857,442,766**.

The main reason for the significant change is as follows:

The Company recorded a loss after tax of VND 3,034,178,228 in the third quarter of 2026, representing a decrease compared with the corresponding period of 2025, mainly due to adverse developments in the securities market, which affected the performance of the Company's trading securities investments.

The Company's other business operations continued as normal. Meanwhile, financial investment activities and debt recovery efforts continued to be carried out as planned.

The Board of Management is actively reviewing and restructuring its investment portfolio while seeking new projects with potential to achieve better business results in subsequent reporting periods.

Yours faithfully,

Recipients:

- As stated above;
- Chairman of BOD;
- Supervisory Board (for reporting);
- Archives

CÔNG TY CỔ
PHẦN GENE
CAPITAL

Digitally signed by CÔNG TY CỔ PHẦN
GENEA CAPITAL
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GENERAL DIRECTOR
CÔNG TY CỔ PHẦN GENE CAPITAL
HÀ NỘI
Vu Xuan Han